



## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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09/181,582

10/28/1998

JOHN JACKSON

~~110129-416~~

1072

500

7590

01/25/2002

SEED INTELLECTUAL PROPERTY LAW GROUP PLLC  
701 FIFTH AVE  
SUITE 6300  
SEATTLE, WA 98104-7092

EXAMINER

WEBMAN, EDWARD J

ART UNIT

PAPER NUMBER

1617

DATE MAILED: 01/25/2002

Please find below and/or attached an Office communication concerning this application or proceeding.

Missing Parts Due  
**RECEIVED** Mar. 25, 2002

MAY 01 2002

ENTERED IN DOCKET

TECH CENTER 1600/2900

ER

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JAN 31 2002

SEED INTELLECTUAL PROPERTY  
LAW GROUP PLLC



EXPRESS MAIL NO.: EV064844818US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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MAY 01 2002

TECH CENTER 1600/2900

Applicants : John Jackson et al.  
Application No. : 09/181,582  
Filed : October 28, 1998  
For : POLYMERIC SYSTEMS FOR DRUG DELIVERY AND USES  
THEREOF

Confirmation No. : 1072  
Art Unit : 1617  
Docket No. : 920041.416  
Examiner Name : Edward J. Webman  
Date : April 25, 2002

Box Missing Parts  
Commissioner for Patents  
Washington, DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION (CPA)

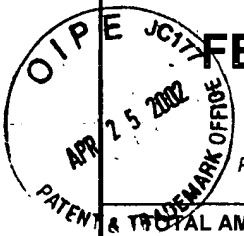
Commissioner for Patents:

In response to the Notice to File Missing Parts dated January 25, 2002, please find enclosed the following documents:

  X   Fee  
      Oath/Declaration  
  X   Copy of Notice to File Missing Parts  
      Sheets of Formal Drawings

Respectfully submitted,  
Seed Intellectual Property Law Group PLLC

David W. Parker  
Registration No. 37,414



# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) **994**

## Complete if Known

Application Number **09/181,582**  
 Filing Date **Oct ber 28, 1998**  
 First Named Inventor **John Jackson**  
 Examiner Name **Edward J. Webman**  
 Group Art Unit **1617**  
 Attorney Docket No. **920041.416**

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## METHOD OF PAYMENT

☒ Payment Enclosed:  
☒ Check ☐ Credit card ☐ Money Order ☐ Other

☐ Deposit Account:Deposit  
Account  
Number**19-1090**Deposit  
Account  
Name**Seed Intellectual Property Law Group  
PLLC**

The Commissioner is authorized to (check all that apply)

- ☐ Charge fee(s) indicated below ☒ Credit any overpayments  
☐ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee  
☒ Charge any deficiencies

to the above-identified deposit account.

☒ Applicant claims small entity status. See 37 CFR 1.27.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	<b>370</b>
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)					<b>(\$ 370)</b>

## 2. EXTRA CLAIM FEES

Total Claims		Extra Claims	Fee from below	Fee Paid
<b>62</b>	-20** =	<b>42</b>	<b>9</b>	<b>378</b>
Independent Claims				
<b>6</b>	-3** =	<b>3</b>	<b>42</b>	<b>126</b>
Multiple Dependent				

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) **(\$ 504)**

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity		Small		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	<b>65</b>
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	<b>55</b>
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) **(\$ 120)**

## SUBMITTED BY

Name (Print/Type) **David W. Parker**Registration No. **37,414**  
Attorney/Agent)Firm Name/  
Address

Signature

*David W. Parker*

Date

April 25, 2002



00500

PATENT TRADEMARK OFFICE

04-26-02

CPA/P6/7

Please type a plus sign (+) inside box ☒

EXPRESS MAIL NO.: EV 344818USPTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL  
FORM**(To be used for all correspondence  
after initial filing)


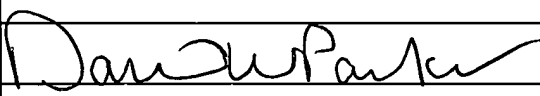
Application Number	09/181,582
Filing Date	October 28, 1998
First Named Inventor	John Jackson et al.
Group Art Unit	1617
Examiner Name	Edward J. Webman
Attorney Docket No.	920041.416

**ENCLOSURES (check all that apply)**

- |   |   |  |
|---|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input checked="" type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Response<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input checked="" type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement; Form PTO-1449<br><input type="checkbox"/> Cited References<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input checked="" type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Request for Corrected Filing Receipt<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address<br><input type="checkbox"/> Declaration<br><input type="checkbox"/> Statement under 37 CFR 3.73(b)<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Small Entity Statement<br><input type="checkbox"/> Request for Refund | <input type="checkbox"/> CD(s), Number of CD(s) _____<br><input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Return Receipt Postcard<br><input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):<br><u>Copy of Notice to File Missing</u><br><u>Parts of Application (CPA)</u> |
|---|---|--|

Remarks

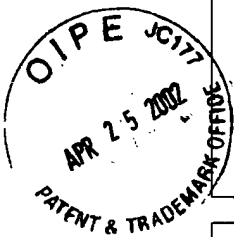
**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Individual Name	David W. Parker	 <b>00500</b> PATENT TRADEMARK OFFICE
Signature		
Date	April 25, 2002	

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date specified below.


Typed or printed name		
Signature		Date:



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APR 25 2002  
PATENT & TRADEMARK OFFICE

Express Mail No.: EV064844818US

**UNITED STATES DEPARTMENT OF COMMERCE**  
**Patent and Trademark Office**  
 Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
 Washington, D.C. 20231

APPLICATION NUMBER	RECEIPT DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
--------------------	--------------	-----------------------	------------------------

DATE MAILED:

### NOTICE TO FILE MISSING PARTS OF APPLICATION (CPA) Filing Date Granted

The Continued Prosecution Application (CPA) request filed on \_\_\_\_\_ is entitled to a filing date under 37 CFR 1.53(d)(1). The CPA request, however, lacks the filing fee(s) and/or items indicated below.

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the fee(s), item(s), and any surcharge required below to avoid abandonment of this CPA. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The total amount owed by applicant is the sum of items 1(a) or (b), 2, and 3 (if checked) below.

- ☒ 1 The statutory basic filing fee is:
- ☒ missing.
  - ☐ insufficient.

(a) Applicant must submit \$ 355.00 to complete the basic filing fee and the \$130.00 surcharge set forth in 37 CFR 1.16(e) (non-small entity), or \$ 65.00 to complete the basic filing fee as a small entity and the \$65.00 surcharge set forth in 37 CFR 1.16(e) and file a small entity statement under 37 CFR 1.27 claiming such status (if the prior application was entitled to small entity status and such status is still proper and desired, a new small entity statement is not required (37 CFR 1.28)).

(b) Applicant must submit \$ 460.00 to complete the basic filing fee as a small entity and the \$65.00 surcharge set forth in 37 CFR 1.16(e).

- ☒ 2. Additional claim fees of
- |  |
|--|
| \$ _____ (non-small entity) or \$ <u>120</u> (small entity) for <u>3</u> independent claims over 3 |
| \$ _____ (non-small entity) or \$ <u>378</u> (small entity) for <u>42</u> claims over 20.          |
| \$ _____ (non-small entity) or \$ _____ (small entity) for multiple dependent claim surcharge.     |

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☐ 3. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 4. The CPA request is unsigned. Applicant must file a signed duplicate or ratification of the CPA request.
- ☐ 5. Other: \_\_\_\_\_

**A copy of this Notice MUST be returned with the reply.**

05/01/2002 GTEFFERA 00000106 09181582

Direct the reply and any questions about this Notice to

M. People

Examining Group 1600

(703) 30 8.1234

01 FC:231  
02 FC:202  
03 FC:203  
04 FC:205

370.00 OP  
126.00 OP  
378.00 OP  
65.00 OP